

How drug development works, and key elements of a clinical trial protocol

Jan Geissler

EUPATI / WECAN / Leukemia Patient Advocates Foundation / Patvocates

What I will cover

- How does medicines development work
 - from Phase I to Phase IV
- Why does patient involvement in clinical research make sense?
- What are the key elements of a clinical trial and trial protocol?
- Where to find additional info (on EUPATI)?

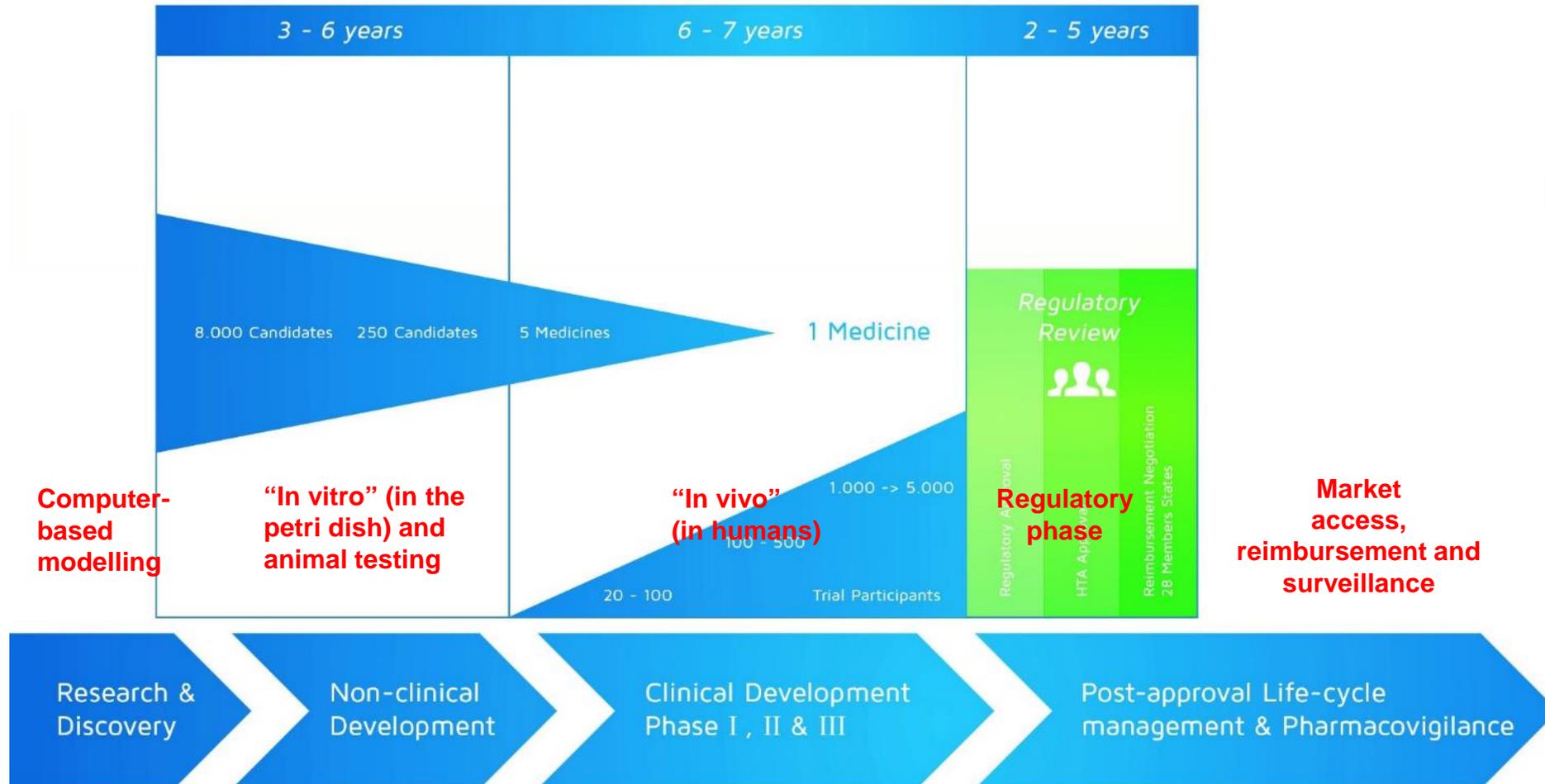
How does drug development work?

Phases of R&D

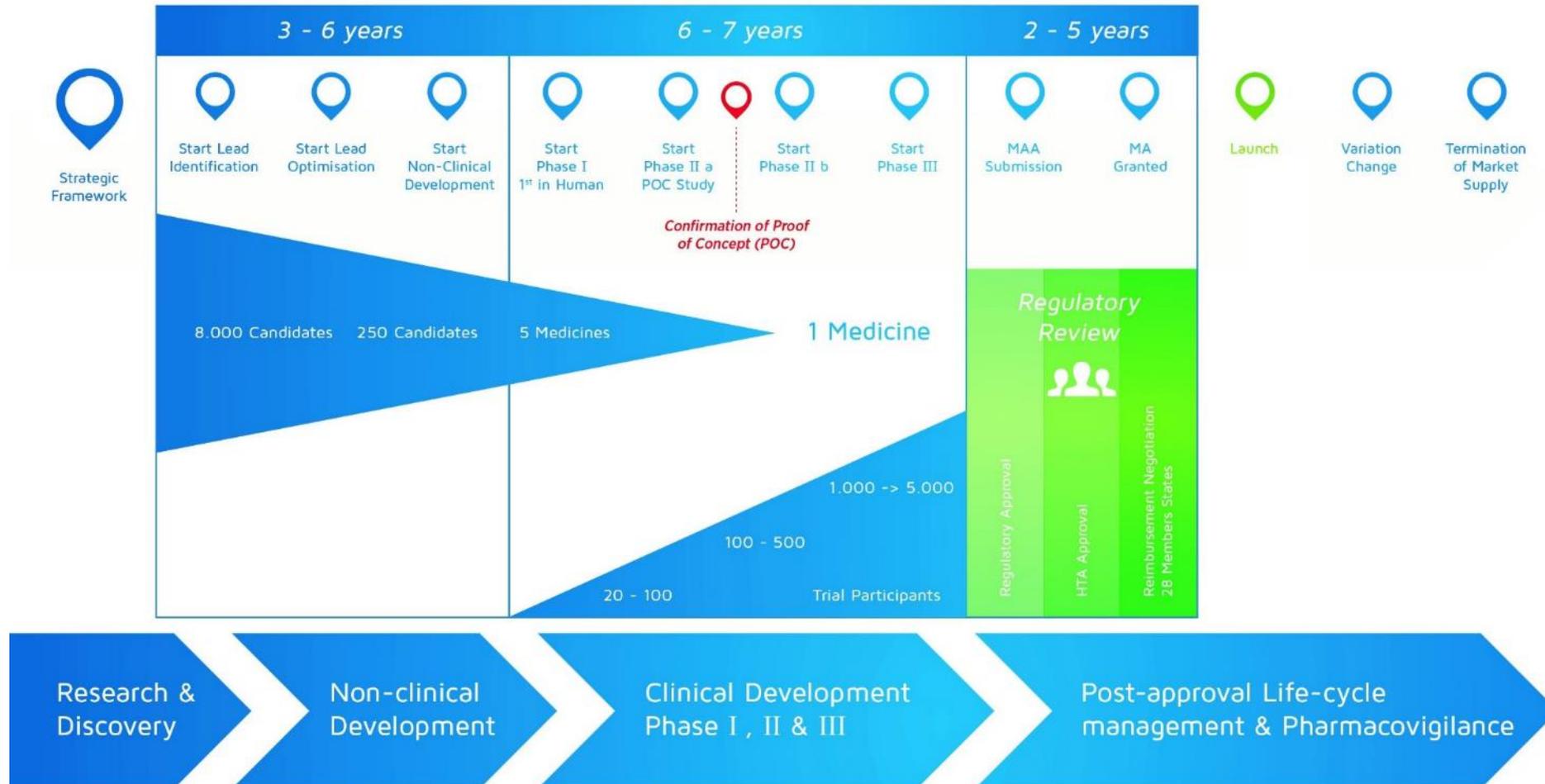
- It takes over 12 years and on average costs between €400 million and €1.5 billion before a new medicine can be made available to patients and reimbursement starts
- Only about 2% of substances evaluated in early research make it to the market as new medicines



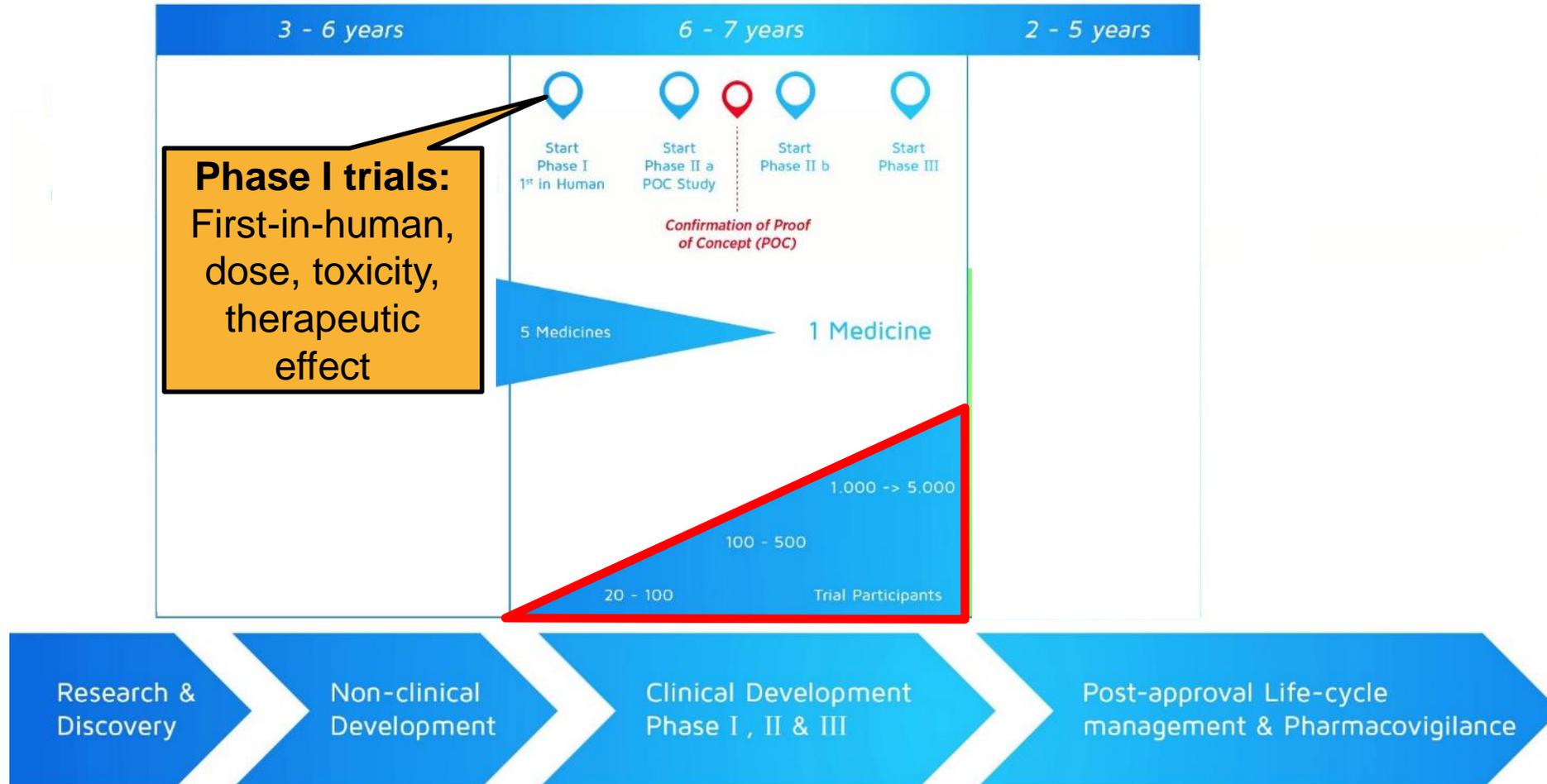
Of 8,000 molecules, only 5 ever get into human clinical trials, and only 1 makes it to market



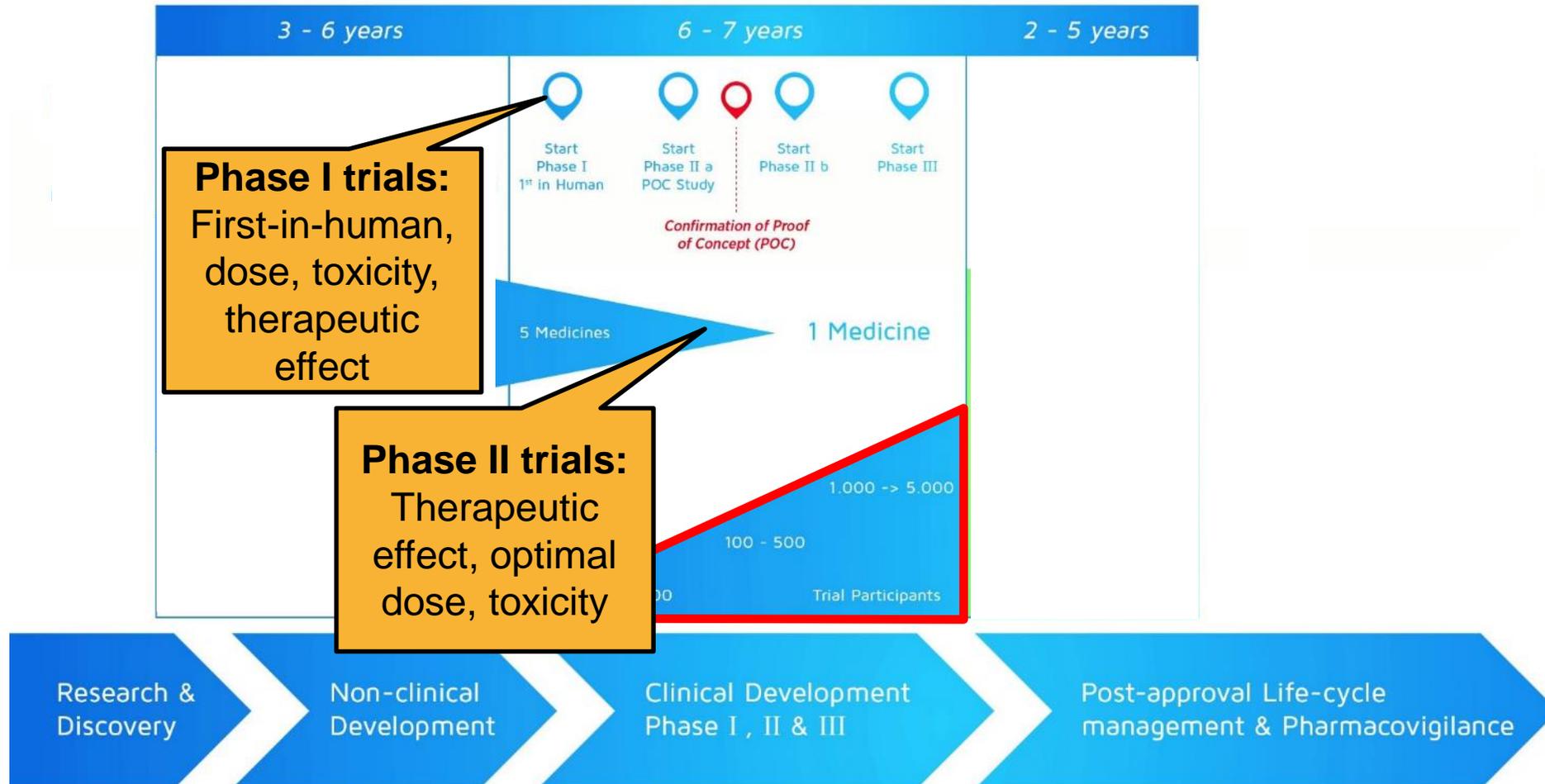
Dosing, safety and efficacy are tested in phases in an increasing number of patients



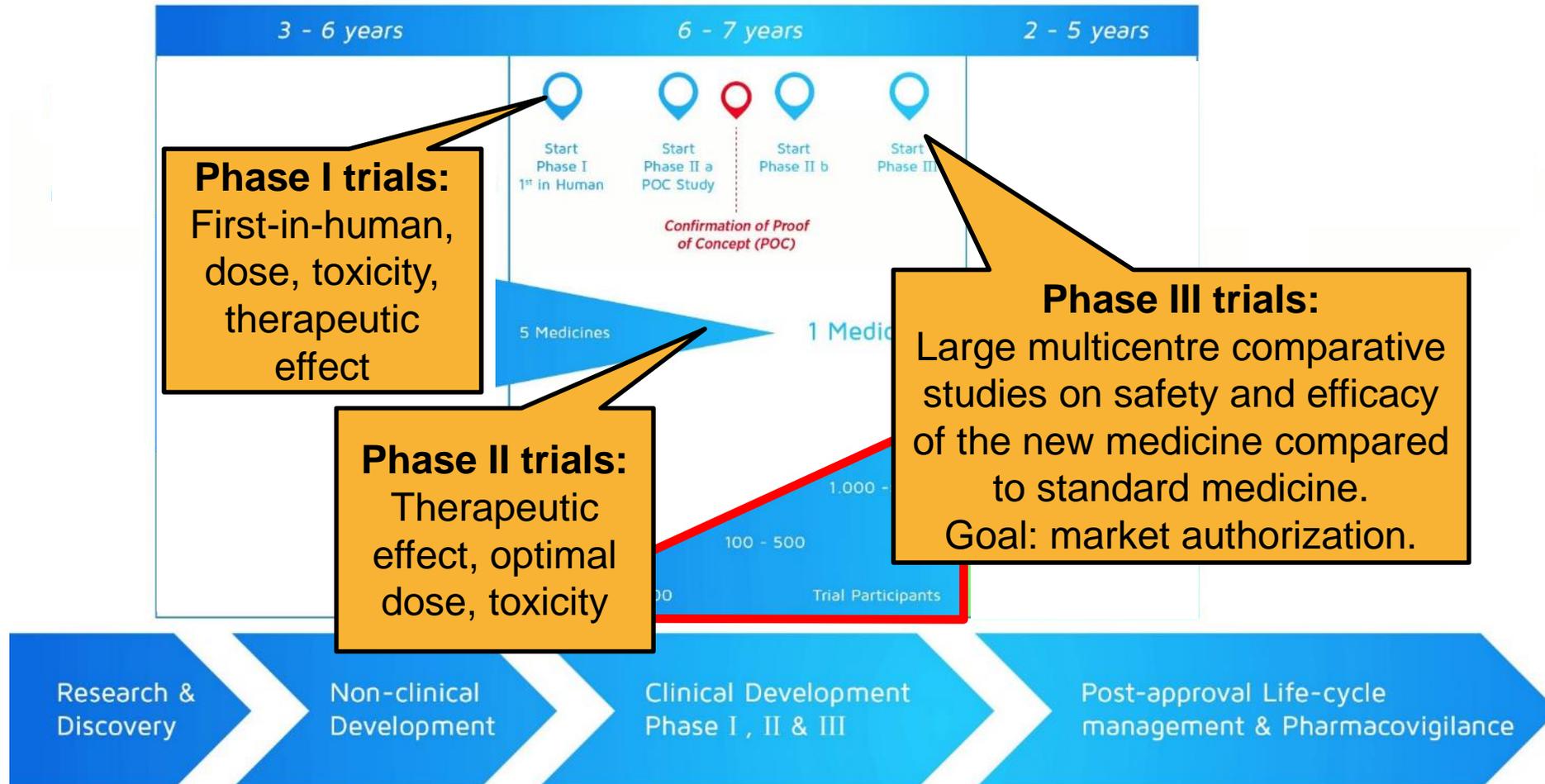
Dosing, safety and efficacy are tested in phases in an increasing number of patients



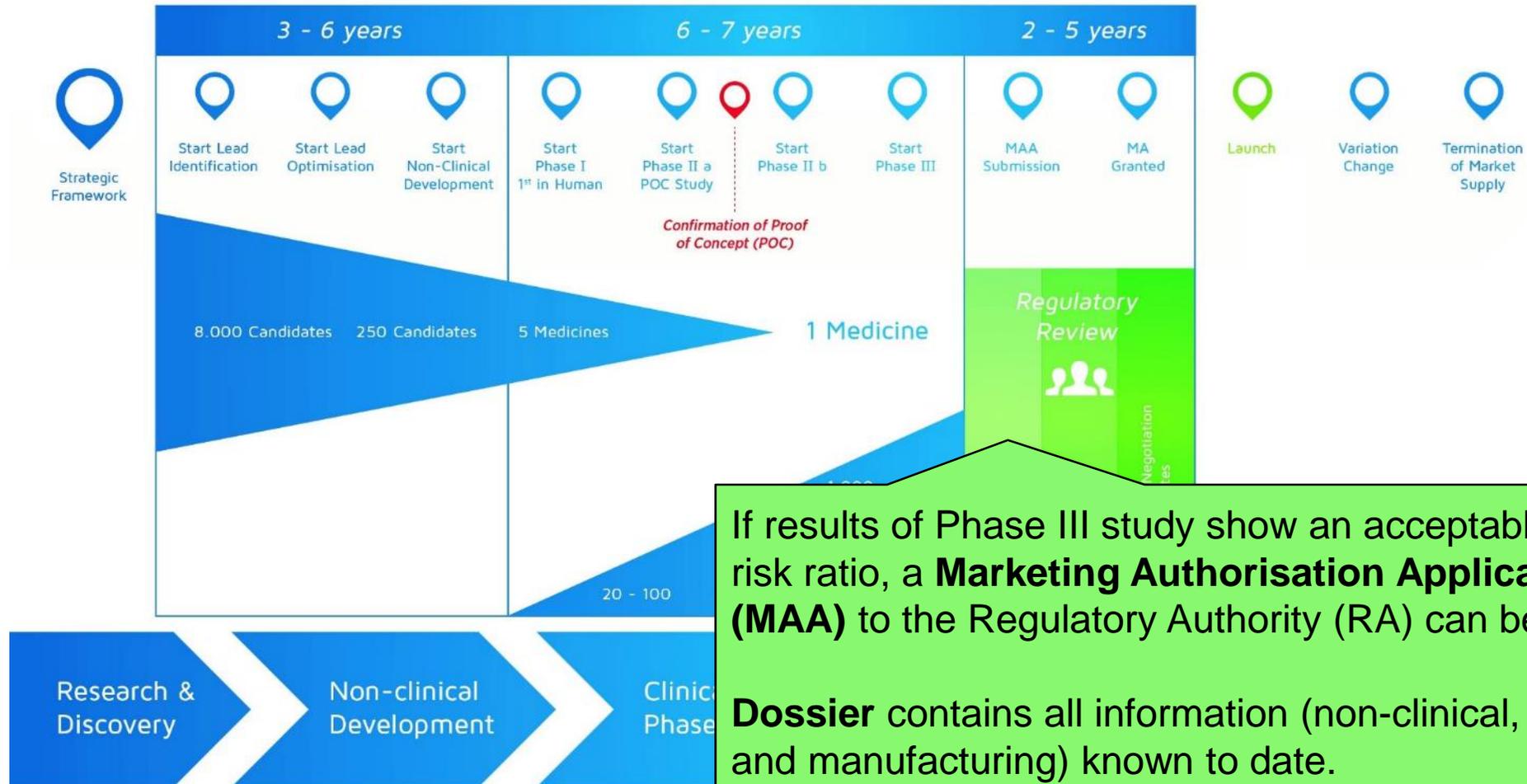
Dosing, safety and efficacy are tested in phases in an increasing number of patients



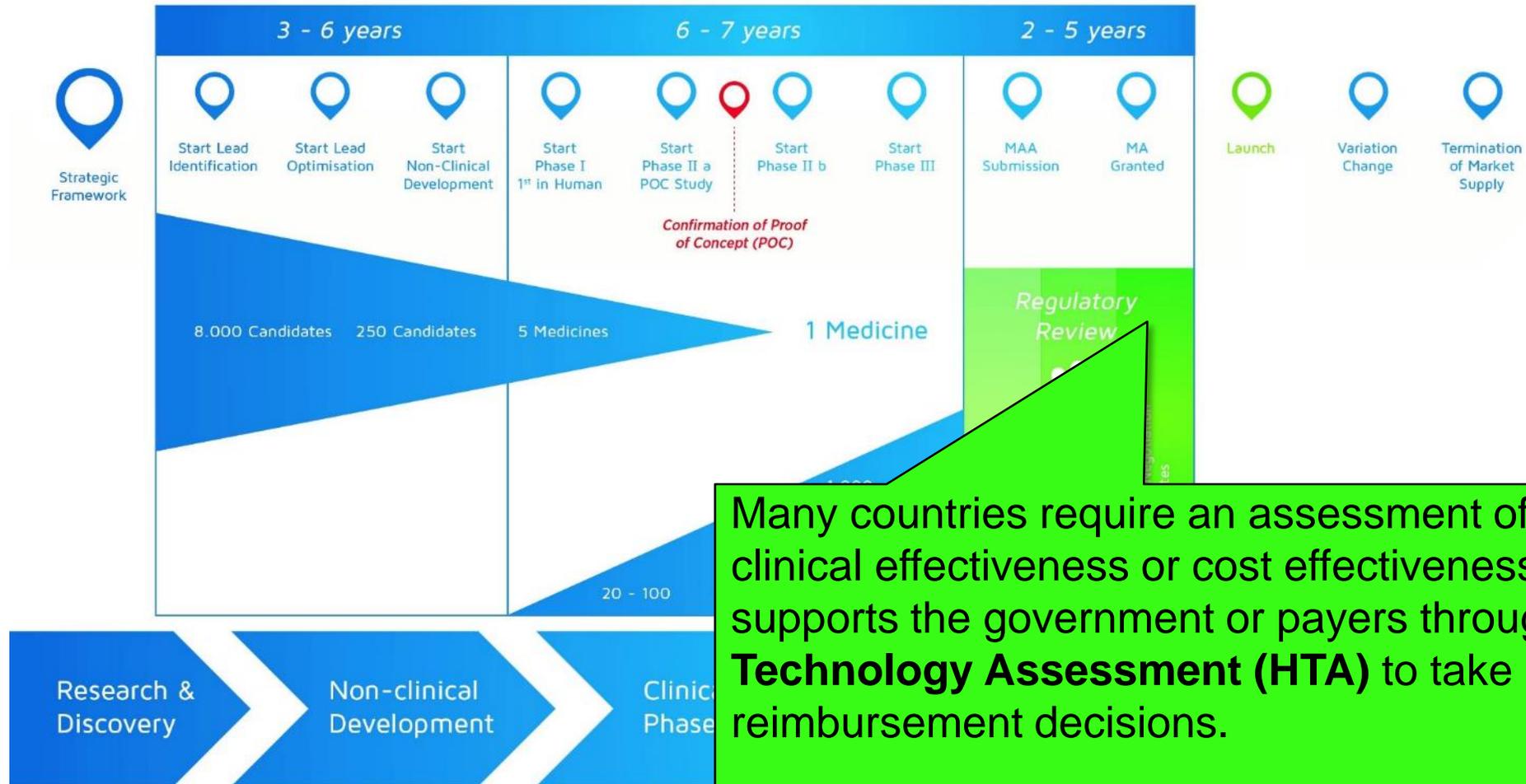
Dosing, safety and efficacy are tested in phases in an increasing number of patients



Regulatory review towards marketing authorization



Decisions on reimbursement and patient access

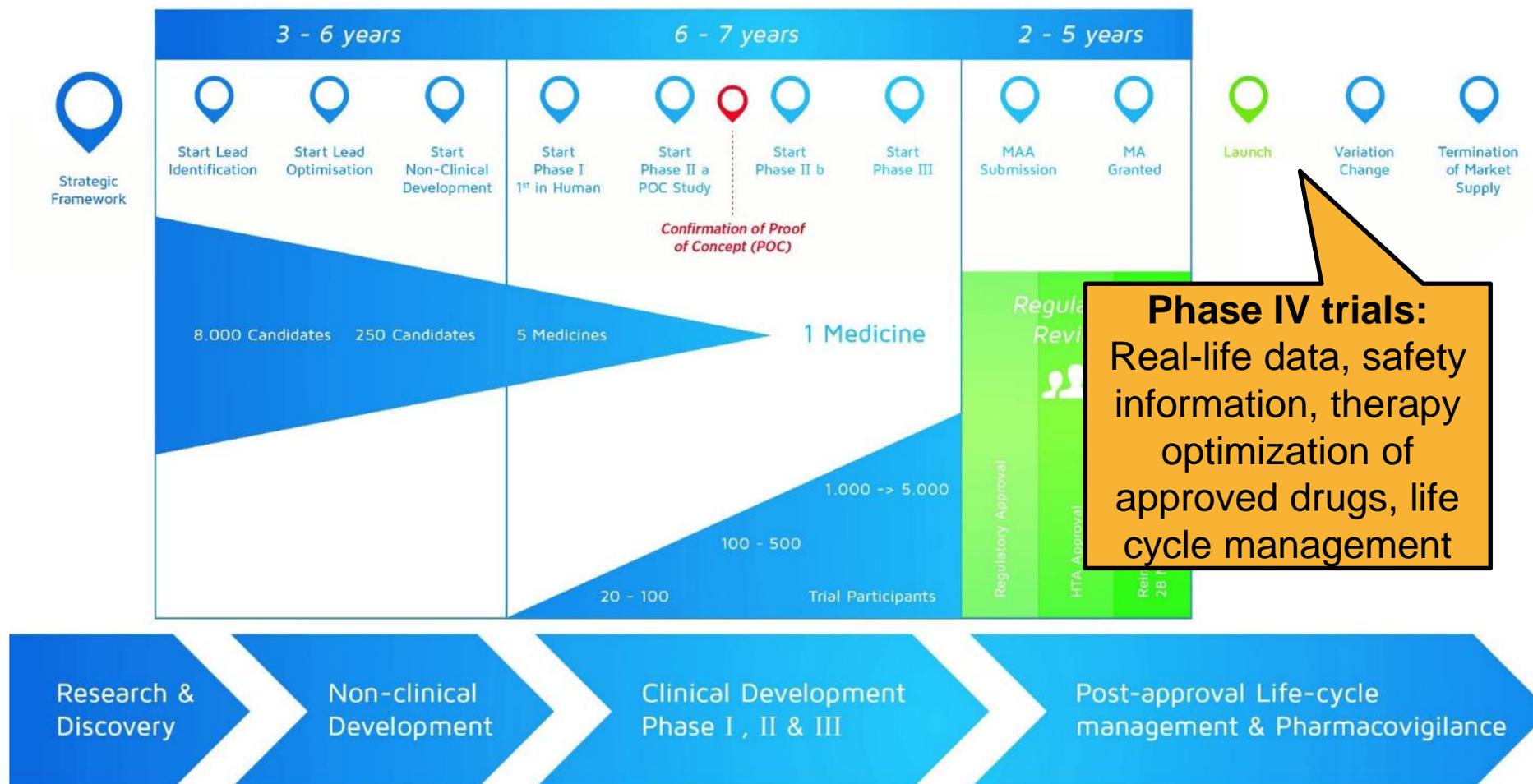


Many countries require an assessment of value, clinical effectiveness or cost effectiveness, which supports the government or payers through **Health Technology Assessment (HTA)** to take reimbursement decisions.

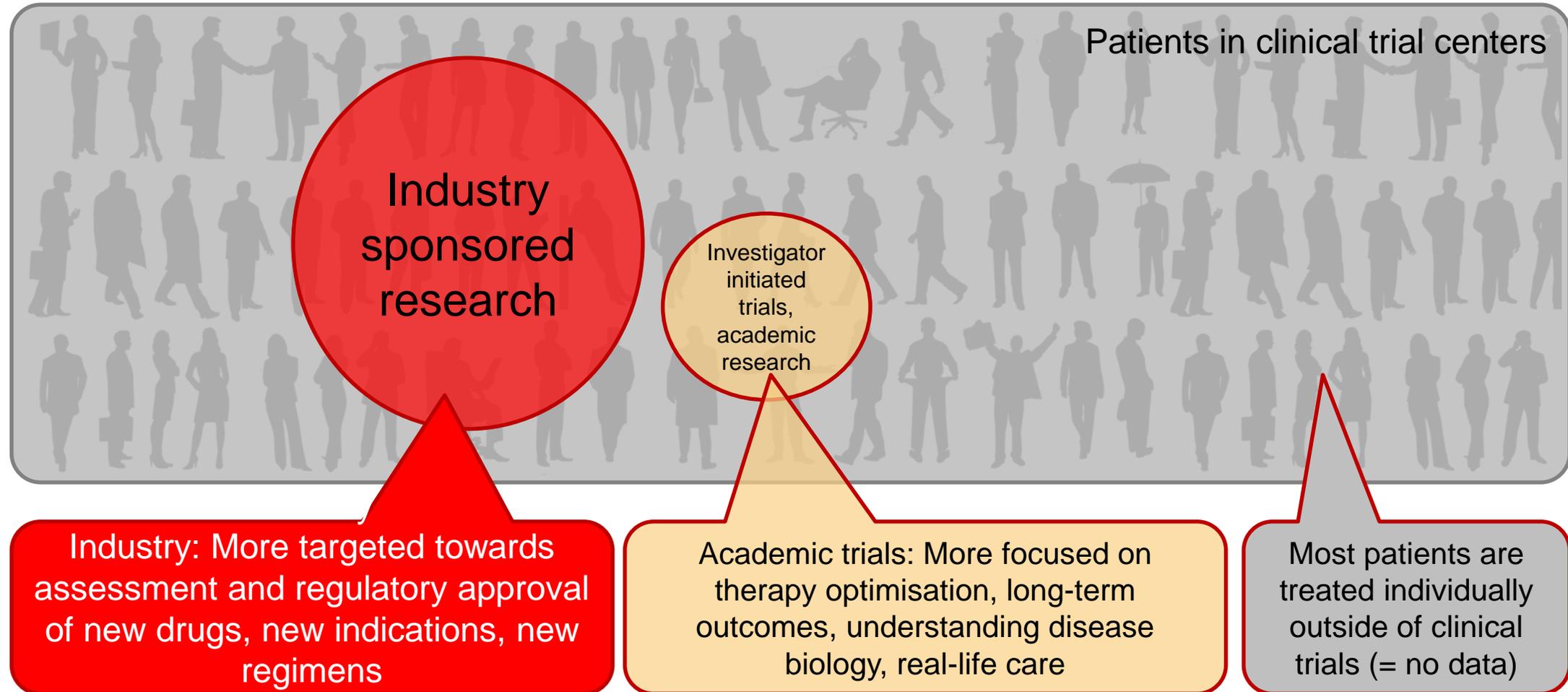
Reimbursement decisions / HTA are the remit of Member States. The EU has no influence.

Source: EUPATI.eu

After regulatory approval decision, late-phase trials optimize therapy and collect more (safety) data

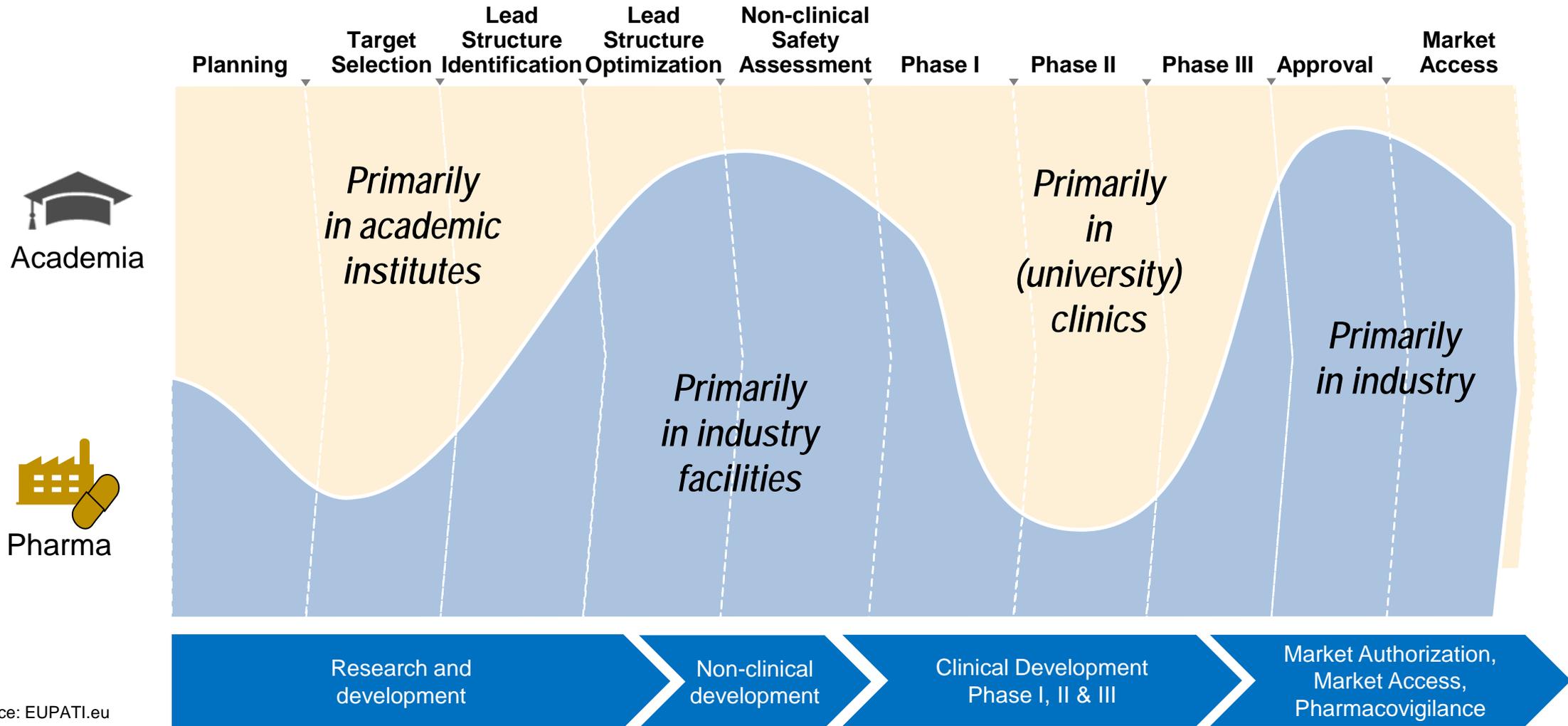


Most trials are initiated/sponsored by industry and then run at academic and community trial centres. Not so many trials are purely academic



Most trials are sponsored by industry and then conducted at academic and trial centers

(industry/academia is not either/or)



Source: EUPATI.eu

Why patient involvement in R&D?

Patients have a key role to play in all aspects of health-related research and policies



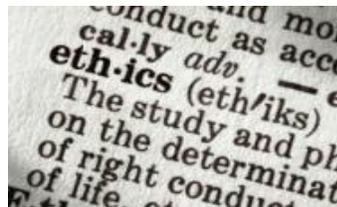
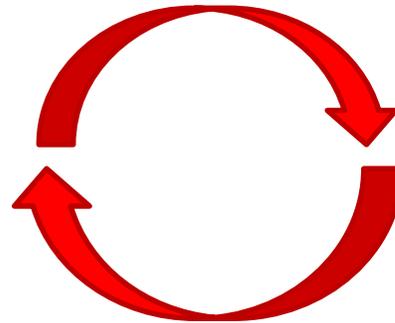
Public



Competent authorities



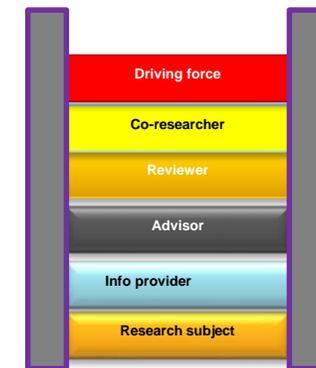
Policy makers
/Research Policy



Research
Ethics Committees

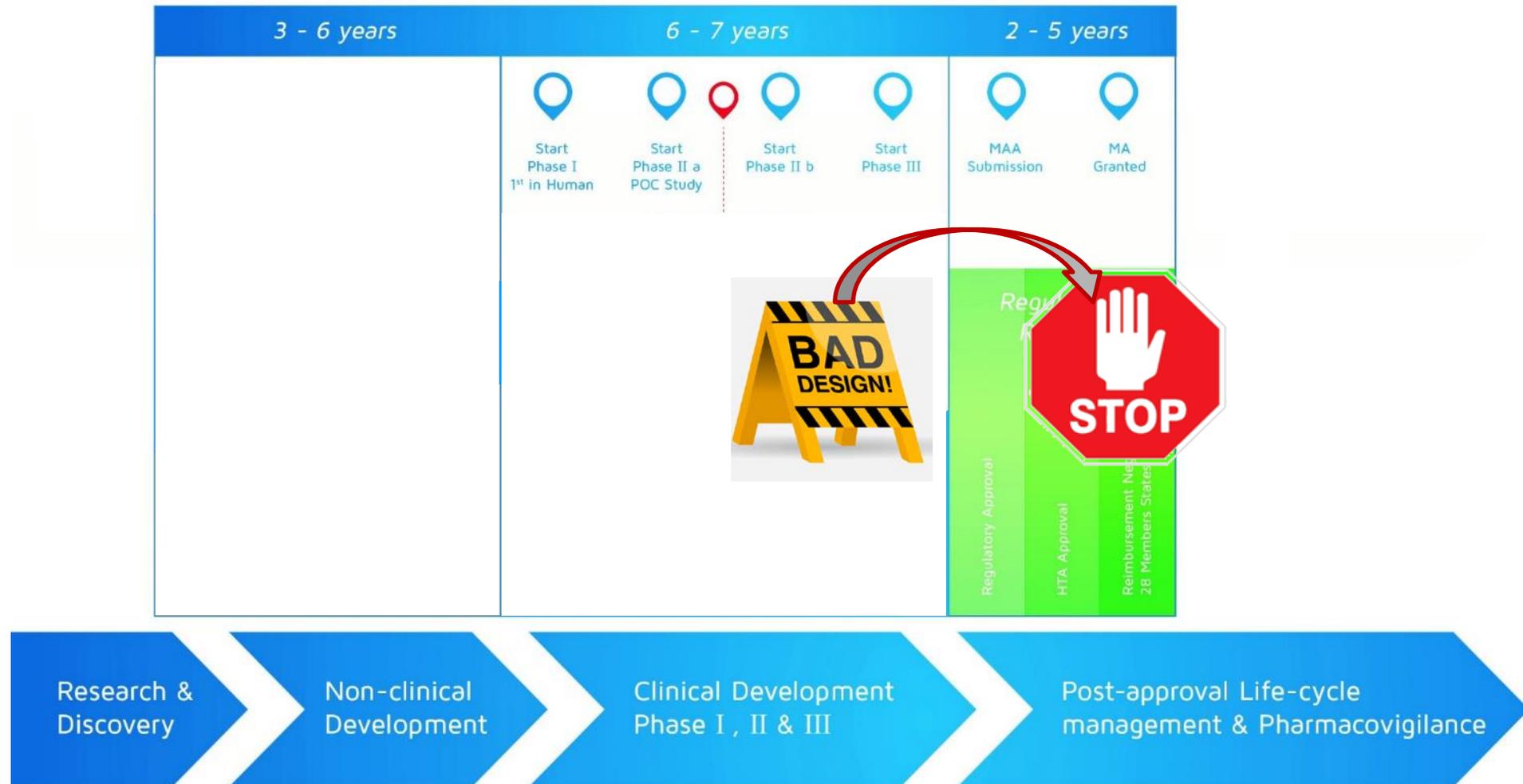


HTA agencies
& committees

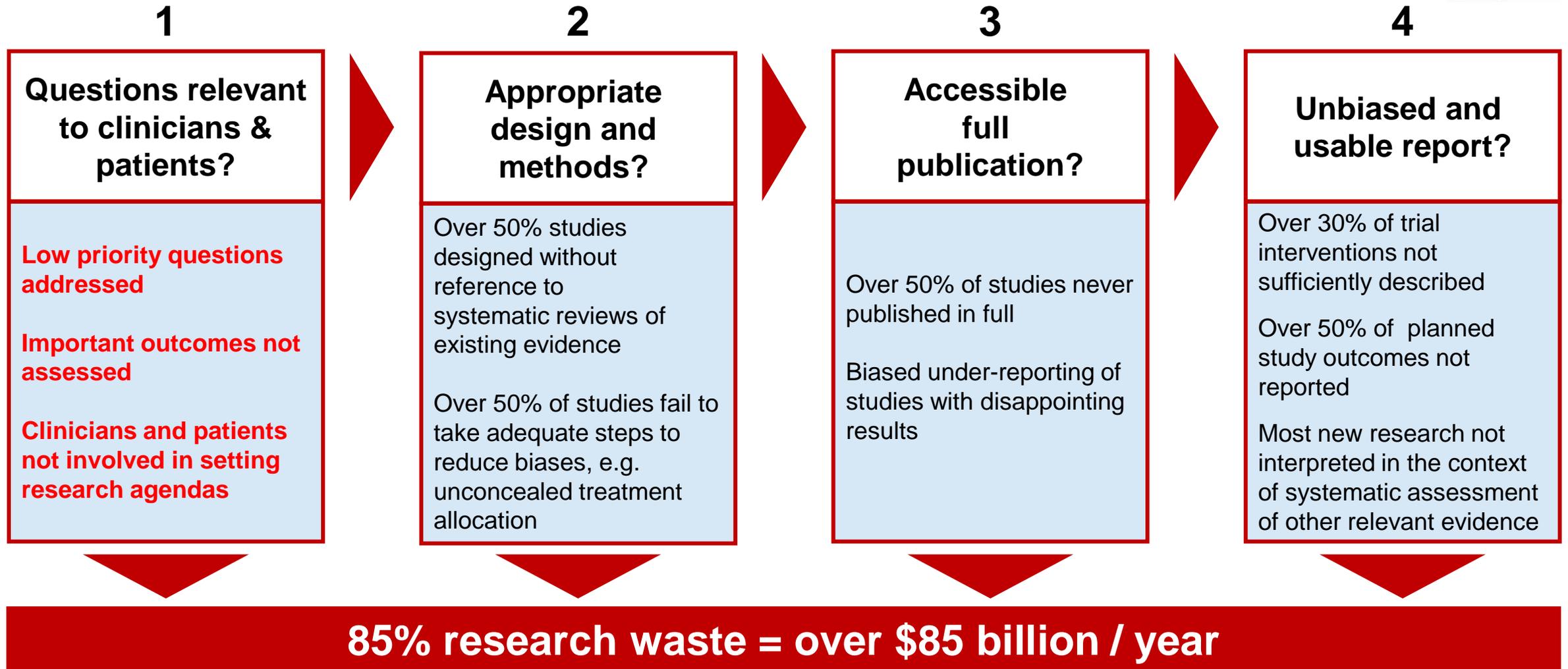


Clinical Research

You can't fix bad data, if you only address them by the time when market authorization/reimbursement decisions are being made



Avoidable waste in the production and reporting of research evidence



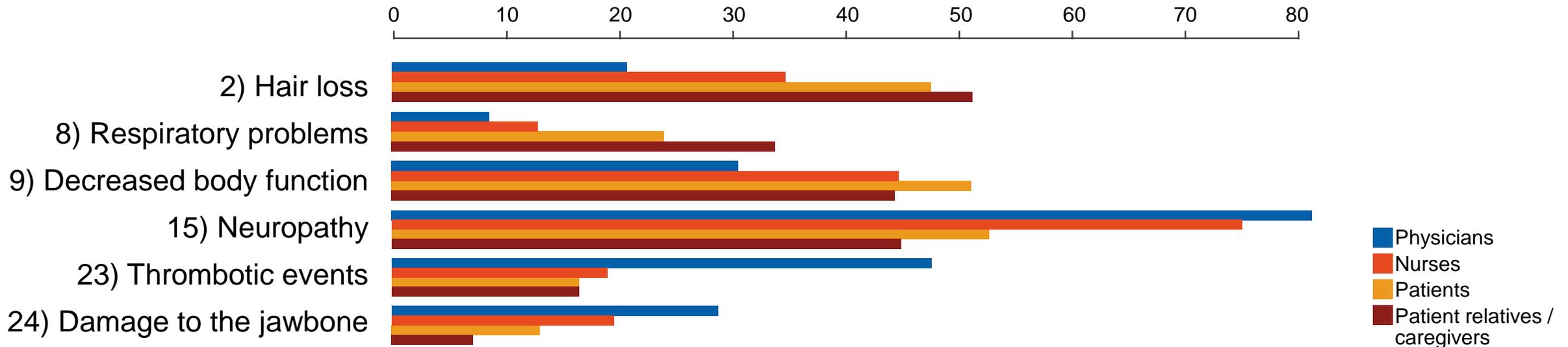
What do patients expect from clinical trials?

Move away from glossy statements on „patient centricity“ towards real involvement of patients in plans, actions and outcomes:

- Gather insights into the day-to-day reality of patients
- Understand the „unmet need“ and „real value“ to patients
- Co-create clinical trials, services and info resources, leading to wiser investment of limited resources through better trial design, more effective research, better data
- Let patients drive research

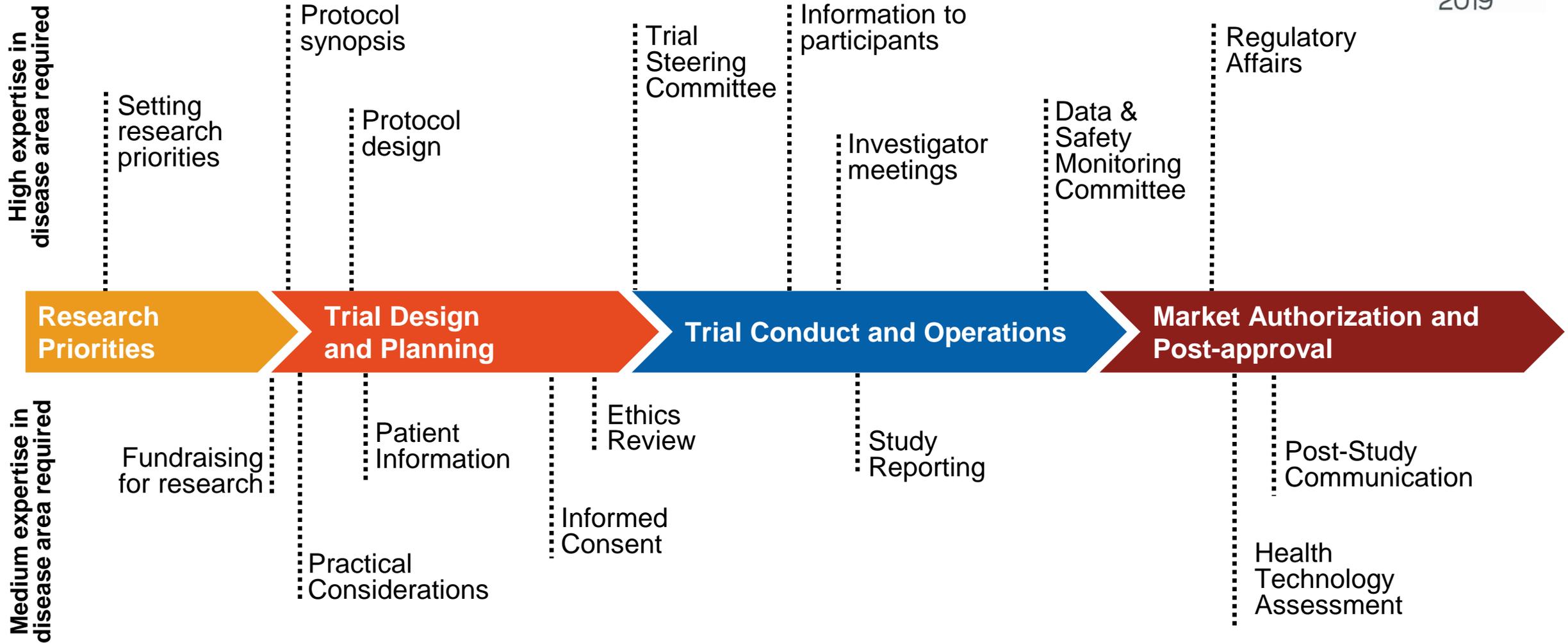
Why do patients have a unique perspective? Example side effects

Treatment side-effects with the most negative impact on overall well-being (%)



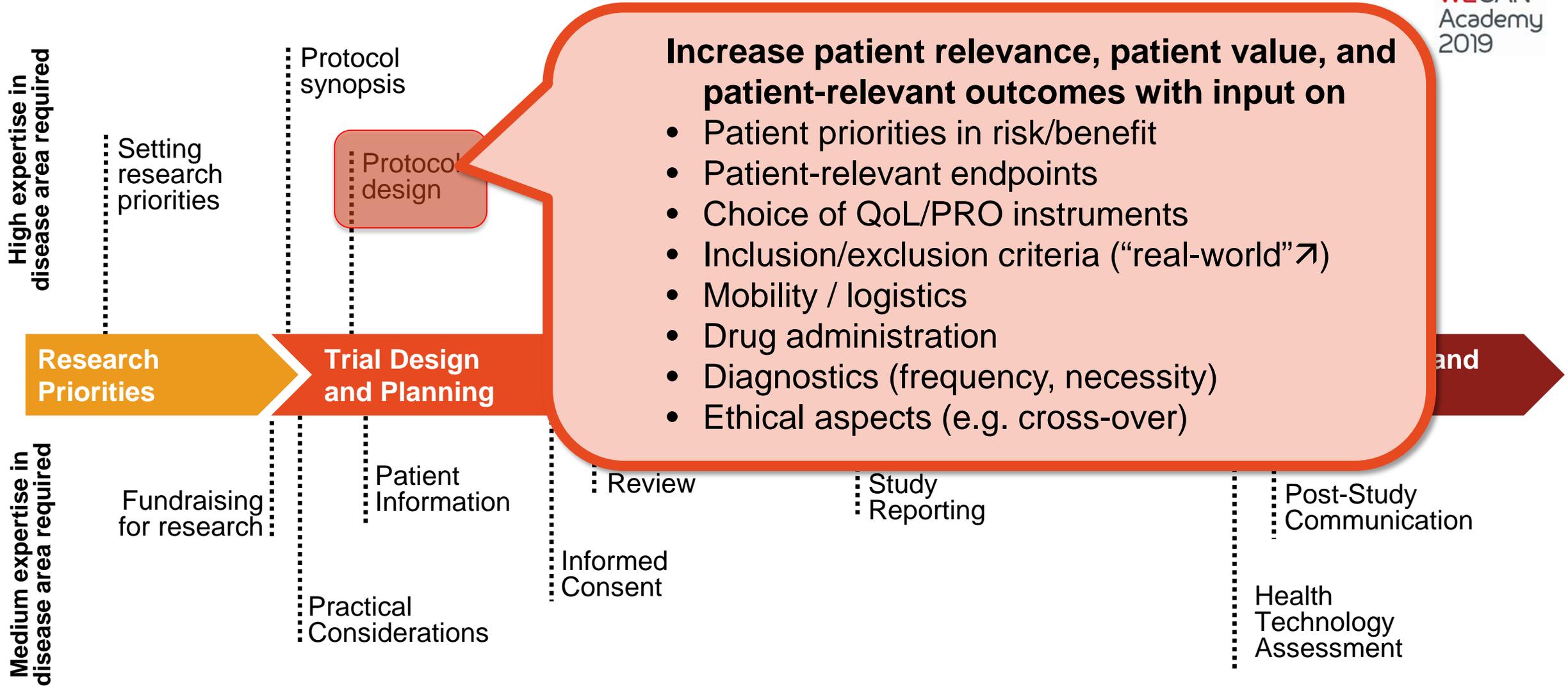
Detecting Myeloma, ways to shortening an often painful and tedious patient odyssey: Results from an international survey. Myeloma Euronet (2009). 314 physicians & nurses, 260 patients & carers, 43 countries

Patient involvement in clinical development in practice



Improving Patient Involvement in Medicines Research and Development: A Practical Roadmap. Geissler, Ryll, Leto, Uhlenhopp, Therapeutic Innovation & Regulatory Science (2017), doi: 10.1177/2168479017706405, and at www.eupati.eu

Patient involvement in clinical development in practice



Improving Patient Involvement in Medicines Research and Development: A Practical Roadmap. Geissler, Ryll, Leto, Uhlenhopp, Therapeutic Innovation & Regulatory Science (2017), doi: 10.1177/2168479017706405, and at www.eupati.eu

What are the key elements of a trial protocol?

Clinical Development

Protocol Synopsis

A multicenter, randomized, open-label Phase 2 study evaluating the safety and efficacy of three different doses of oral *Investigational Drug* in combination with subcutaneous [redacted] in patients with relapsed multiple myeloma who have been previously exposed to immunomodulatory agents

Authors [redacted]
Document type Oncology Synopsis
EUDRACT number Enter number
Version number 00 (Original Protocol)
Development phase II
Document status Draft
Release date DD-Mmm-YYYY (To be completed by the ODA)

Property of [redacted]
Confidential
May not be used, divulged, published, or otherwise disclosed without the consent of [redacted]

Template version 17-Nov-2014

Please note that this Protocol has been redacted for confidentiality reasons

Table of contents

| | |
|---|----|
| Table of contents | 2 |
| List of tables | 3 |
| List of abbreviations | 5 |
| Glossary of terms | 6 |
| 1 Background | 8 |
| 1.1 Overview of disease pathogenesis, epidemiology and current treatment | 8 |
| 1.2 Introduction to investigational treatment(s) and other study treatment(s) | 9 |
| 1.2.1 Overview of <i>Investigational Drug</i> | 9 |
| 2 Rationale | 11 |
| 2.1 Study rationale and purpose | 11 |
| 2.2 Rationale for the study design | 11 |
| 2.3 Rationale for dose and regimen selection | 12 |
| 2.4 Rationale for choice of combination drugs | 13 |
| 2.5 Rationale for choice of comparators drugs | 14 |
| 3 Objectives and endpoints | 14 |
| 4 Study design | 17 |
| 4.1 Description of study design | 17 |
| 4.2 Timing of interim analyses and design adaptations | 18 |
| 4.3 Definition of end of the study | 18 |
| 5 Population | 19 |
| 5.1 Patient population | 19 |
| 5.2 Inclusion criteria | 19 |
| 5.3 Exclusion criteria | 21 |
| 6 Treatment | 23 |
| 6.1 Study treatment | 23 |
| 6.1.1 Dosing regimen | 24 |
| 6.1.5 Treatment duration | 27 |
| 6.2 Dose escalation guidelines | 28 |
| 7 Visit schedule and assessments | 28 |
| 7.1 Study flow and visit schedule | 28 |
| 8.6 Data Monitoring Committee | 41 |
| 8.7 Steering Committee | 41 |
| 8.8 Independent Review Committee | 42 |
| 10 Statistical methods and data analysis | 42 |
| 10.1 Analysis sets | 42 |
| 10.1.1 Full Analysis Set | 42 |

| | |
|--|----|
| 10.1.2 Safety Set | 43 |
| 10.1.3 Per-Protocol Set | 43 |
| 10.1.4 Dose-determining analysis set | 44 |
| 10.1.5 Pharmacokinetic analysis sets | 44 |
| 10.1.6 Other analysis sets | 44 |
| 10.2 Patient demographics/other baseline characteristics | 44 |
| 10.3 Treatments (study treatment, concomitant therapies, compliance) | 44 |
| 10.3.1 Study Treatment | 44 |
| 10.3.2 Concomitant therapies | 45 |
| 10.4 Primary objective | 45 |
| 10.4.1 Variable | 45 |
| 10.4.2 Statistical hypothesis, model, and method of analysis | 45 |
| 10.4.3 Handling of missing values/censoring/discontinuations | 46 |
| 10.4.4 Supportive analyses | 46 |
| 10.5 Secondary objectives | 46 |
| 10.5.1 Secondary objective(s) | 46 |
| 10.5.2 Other secondary efficacy objectives | 47 |
| 10.5.3 Safety objectives | 47 |
| 10.5.4 Pharmacokinetics and Dose Response | 49 |
| 10.5.5 Biomarkers | 50 |
| 10.5.6 Patient-reported outcomes | 52 |
| 10.6 Exploratory objectives | 52 |
| 10.7 Interim analysis | 52 |
| 10.8 Sample size calculation | 54 |
| 10.9 Power for analysis of key secondary variables | 54 |

List of tables

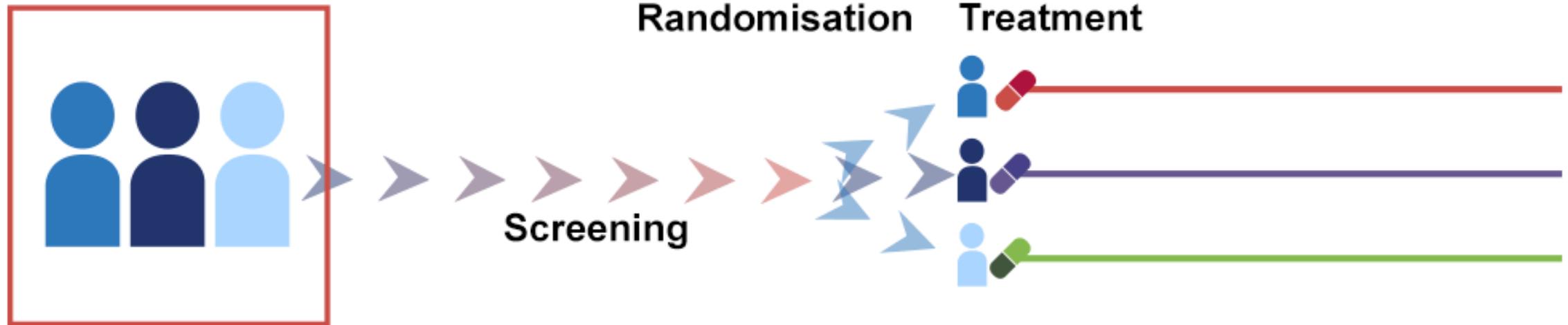
| | | |
|-----------|--|----|
| Table 3-1 | Objectives and related endpoints | 15 |
| Table 6-1 | Dose and treatment schedule for Combination Treatment Period | 24 |
| Table 6-2 | Dose and treatment schedule for Maintenance Treatment Period | 25 |
| Table 7-1 | Visit evaluation schedule | 29 |
| Table 7-2 | Disease assessment collection plan | 36 |
| Table 7-3 | Local clinical laboratory parameters collection plan | 37 |
| Table 7-4 | Central ECG collection plan | 38 |

Type of comparisons

In a clinical trial design, there are a number of different types of comparisons that can be included:

- **Superiority comparison trials**
= demonstrate that the investigational medicine **is better** than the control.
- **Equivalence comparison trials**
= demonstrate that the endpoint is similar (no worse, no better) to the control.
- **Non-inferiority comparison trials**
= demonstrate that the investigational medicine is **not worse** than the control.
- **Dose-response relationship trials**
= demonstrate various dose parameters including starting dose and maximum dose.

Randomisation



- Randomisation is the process of assigning a trial participant randomly (by chance) to treatment or control groups.
- Randomisation removes potential for bias at the time of recruitment

Blinding

| Type | Description |
|--------------------------------------|--|
| Unblinded or open label | All are aware of the treatment the participant receives |
| Single blind or single-masked | Only the participant is unaware of the treatment they receive |
| Double blind or double-masked | The participant and the clinicians / data collectors are unaware of the treatment the participant receives |
| Triple blind | Participant, clinicians / data collectors and outcome adjudicators / data analysts are all unaware of the treatment the participant receives. |

Endpoints

- **Endpoint = primary outcome** measured by a clinical trial.
A cancer drug may compare the 5-year survival rate of patients using an experimental therapy against the 5-year survival rate of patients using another treatment or a placebo.
- A clinical trial might use a
 - **clinical endpoint** or a
 - **surrogate endpoint.**
- A clinical endpoint is an outcome that represents direct **clinical benefit**, such as survival, decreased pain, or the absence of disease.
- A surrogate endpoint is **a substitute for a clinical endpoint** used in trials where the use of a clinical endpoint might not be possible or practical (if, for example, a drug's direct benefits would take many years to measure).
Surrogate endpoints do not represent direct clinical benefit, but instead ***predict clinical benefit.***

Adapted from: <https://www.focr.org/clinical-trial-endpoints>

Patient Reported Outcomes (PRO)

- PROs give an unique insights into how a therapy can affect a patient, e.g.
 - **Health-related Quality of Life (HRQoL)**, e.g. physical, social, emotional, psychological role function and well-being
 - **Patient Satisfaction** (e.g. patient preferences, healthcare delivery systems, evaluation of treatments and medical devices)
 - **Activity of Daily Living (ADL)**
- Individuals with the exact same health status, diagnosis, or disease may have different perceptions about how they feel and function.
- Measuring well-being as an outcome becomes especially important where the primary goal of treatment is patient well-being, rather than prolonging life or reducing disease events.

PROs

- ✓ Health-related quality of life (HRQOL)
- ✓ Symptoms
- ✓ Function
- ✓ Satisfaction with care or symptoms
- ✓ Adherence to prescribed medications or other therapy
- ✓ Perceived value of treatment



Inclusion and exclusion criteria

- **Inclusion criteria** = the key features of the target population to be included to answer research question.
 - Typical inclusion criteria include demographic, clinical, and geographic characteristics.
- **Exclusion criteria** = features of potential study participants who meet the inclusion criteria but present characteristics that could interfere with the success of the study, or increase their risk for an unfavourable outcome.
 - Common: characteristics that make them highly likely to be lost to follow-up, miss scheduled appointments to collect data, provide inaccurate data, have comorbidities that could bias the results of the study, or increase their risk for adverse events (most relevant in studies testing interventions).

Patients may have a different perception or understanding about who is and who is not eligible or fit for the trial – your opinion matters!

Adapted from: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6044655/>

Schedule of Events / Activities / Visits, Study Matrix

= The plan of care that the typical participant will receive during his or her participation in the study.

It describes the **timing of procedures** that will be accomplished during all clinic visits and assessments of the study.

| | Screening | Enrollment/Baseline (Visit 1) | Follow-Up (Visit 2) | Follow-Up (Visit 3) | Follow-Up (Visit 4) | Follow-Up (Visit 5) | Follow-Up (Visit 6) | Follow-Up (Visit 7) | Follow-Up (Visit 8) | Follow-Up (Visit 9) | Follow-Up (Visit 10) | Follow-Up (Visit 11) | Follow-Up (Visit 12) | Final Study Visit (Visit 13) |
|---|-----------|-------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------|----------------------|----------------------|------------------------------|
| Procedures | | | | | | | | | | | | | | |
| Informed consent | X | | | | | | | | | | | | | |
| Demographics | X | | | | | | | | | | | | | |
| Medical history | X | | | | | | | | | | | | | |
| Randomization | X | | | | | | | | | | | | | |
| Administer Investigational Product | | X | | | X | | | X | | | X | | | |
| Concurrent meds | X | X-----X | | | | | | | | | | | | |
| Physical exam | X | X | | | X | | | X | | | X | | | X |
| Vital signs | X | X | | | X | | | X | | | X | | | X |
| Height | X | | | | | | | | | | | | | |
| Weight | X | X | | X | | X | | X | | X | | X | | X |
| Performance status | X | X | | X | | X | | X | | X | | X | | X |
| CBC w/diff, plts | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| Serum chemistry ^a | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| Serum Pregnancy test ^b | X | | | | | | | | | | | | | |
| EKG (as indicated) | X | | | | | | | | | | | | | |
| Adverse event evaluation | | X-----X | | | | | | | | | | | | |
| Radiologic evaluation/Imaging | X | | | | X | | | | X | | | | | X |
| <i>Other tests, as appropriate</i> | | | | | | | | | | | | | | |
| a: Albumin, alkaline phosphatase, total bilirubin, bicarbonate, BUN, calcium, chloride, creatinine, glucose, LDH, phosphorus, potassium, total protein, SGOT [AST], SGPT [ALT], sodium. b: Serum pregnancy test (women of childbearing potential). | | | | | | | | | | | | | | |

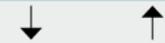
Example schedule of events. Source: NIH-FDA Clinical Trial Protocol Template – v0.1 20160205

Informed consent

- Informed Consent has its roots in the 1947 Nuremberg Code and the 1964 Declaration of Helsinki and is a key component of medical research today (Human Right).
- A person's **voluntary agreement**, based on an understanding of the relevant information, to participate in a clinical trial.
- Before any research may be carried out, participants must be **informed**
 - **about all aspects of the study and/or intervention**, including the aims, methods, anticipated benefits, and potential risks.
 - that they can **withdraw** from the research at any stage without any negative consequences to their ongoing care or treatment.
 - In an **accessible and understandable way**, having the opportunity to ask questions about the research.
- Informed consent is usually **documented in writing with a signed and dated consent form**. However, informed consent should be an ongoing process throughout a study,

INITIAL CONTACT

PATIENT



DOCTOR

- > **Informed Consent Discussion**
(verbal information)
 - explanations
 - answering questions
 - ensure that patient understands the information provided
 - > **Informed Consent Form**
(written information)
-
- > **Time to make the decision**
(e.g. consultation with family)
 - > **Make the decision**
(Informed Consent)

Informed Consent: Difficult balance between lack of information, information overload, legal requirements

- Informed Consent comprises: **verbal** information through the doctor and **written** information and consent (comprehensive patient information)
- Written patient information is **highly legally and ethically regulated**
 - Defined in several laws, regulations, guidance (ICH GCP, International and National Laws, Regulations)
- The state of “being informed” is very individual and leaves lots of space for interpretation
- It is a sensible path between **lack of information and information overload**
- **Patient involvement** in making informed consent documents more readable, understandable and relevant (verbal and visual improvement) is strongly recommended, but not formally required

Where to find additional information about medicines research & development?

European Patients' Academy (www.EUPATI.eu) Toolbox



Search the Toolbox by keyword | Browse the Toolbox by category

| | | |
|---|---|--|
| Basics of Medicine Development General description of the basic concepts and processes of R&D in medicines. | Benefit and Risk Assessment Risks and benefits of medicines, and the elaborate system of pharmacovigilance. | Clinical Development and Trials Introduction to research methods and the conceptual description of trial phases. |
| Drug Discovery From the discovery of molecules to the explanation of how diseases affect humans. | Health Technology Assessment Explanation of HTA methods and processes, and patient involvement mechanisms in HTA. | Non-Clinical Studies Medicines R&D involves more than clinical trials: translational medicine explained. |
| Personalised Medicine Benefits and challenges in developing, delivering medicines tailored to individuals. | Pharmaceutical Development Explanation of the various methodologies of how medicines are and can be developed. | Pharmacoepidemiology Exploration of various epidemiological aspects relevant for medicine development. |
| Regulatory Affairs Regulatory systems for medicines from EMA to prescription and off-label use. | Safety of Medicines Concise, comprehensive description of the complex mechanisms to keep medicines safe. | Types of Medicines Explanation of traditional and innovative medicine types and perspectives of use. |

1. Discovery of Medicines
2. Pre-clinical Development
3. Clinical Development
4. Clinical Trials
5. Regulatory Affairs, Drug Safety, Pharmacovigilance
6. Health Technology Assessment

Source: EUPATI.eu

Search the Toolbox by keyword | **Browse the Toolbox by category**

- Basics of Medicine Development**
General description of the basic concepts and processes of R&D in medicines.
- Benefit and Risk Assessment**
Risks and benefits of medicines, and the elaborate system of pharmacovigilance.
- Clinical Development and Trials**
Introduction to research methods and the conceptual description of trial phases.
- Drug Discovery**
From the discovery of molecules to the explanation of how diseases affect humans.
- Health Technology Assessment**
Explanation of HTA methods and processes, and patient involvement mechanisms in HTA.
- Non-Clinical Studies**

Patient advocates involved in clinical development

Print | Save as PDF

Patient advocates can be involved in early [clinical development](#) through partnerships and working relationships with regulatory authorities, [ethics committees](#), [investigators](#), and industry.

Patient advocates can provide input into:

- Study design:
 - Studies should take into account the needs of the patients. This means the research priorities and research important to and provide value
- Study literature and [informed consent](#) process) should be clearly understood
- Study logistics (such as travel, time takes their needs into account, [indication/disease](#).
- [Recruitment](#) and retention:
 - Raising awareness of studies with Patient organisations should be able to provide information to patients
- Dissemination:
 - The results of research should

Patient advocates can have roles as:

- Driving force:
 - Lobbying for the development of patient organisations represent



Glossary

Print | Save as PDF

'Me too' medicine
'Me too' medicines are products which have more or less identical compounds structurally very similar to already known medicines only minor pharmacological differences. They can present some and in a few cases lead to price reductions, but also involve disadvantages treatments.

AB
An antibody (AB), also known as an immunoglobulin, is a protein that detects harmful substances (called antigens). Antigens can be parasites, and viruses), or chemicals (insect venom). Antibodies neutralise them.

Absorption
In pharmacology and pharmacokinetics, absorption is the process from the site of administration (by mouth, inhalation, intravenous capillary, osmotic, solvent, or chemical action in the cells. This occurs membranes. In specific situations, such as intravenous (IV) there is variability, because the medicine goes directly in to the bloodstream the compound is 100%. Absorption is a primary focus in medicine absorbed before any medicinal effects can take place. Moreover significantly changed by factors that affect absorption.

Patients Involved – Informed consent Writer's guide

Print | Save as PDF

Index

- Introduction
- Description of the case
- Type(s) of patient (advocates) involved
- Benefits of patient involvement
- Challenges and barriers
- Learnings
- Attachments

Introduction

Partners from Ethic Committees (National Commission of Ethic Committees patient associations, and investigating centres worked in a writer's guide of recommendations and six rules for [Informed Consent](#) Forms.



Fig. 1 - Where in the process? – Phase II-III

When does it happen? – Phase II-III

Participants' rights, responsibilities, organisations

Print | Save as PDF

Index

- Introduction
- Participants' rights
- Participant responsibilities
- Role of patient organisations
 - Dissemination, Promotion and Comprehension
 - Study Adherence and Retention
 - Individual Support and Protection

Patient-reported outcomes (PROs) assessment

Print | Save as PDF

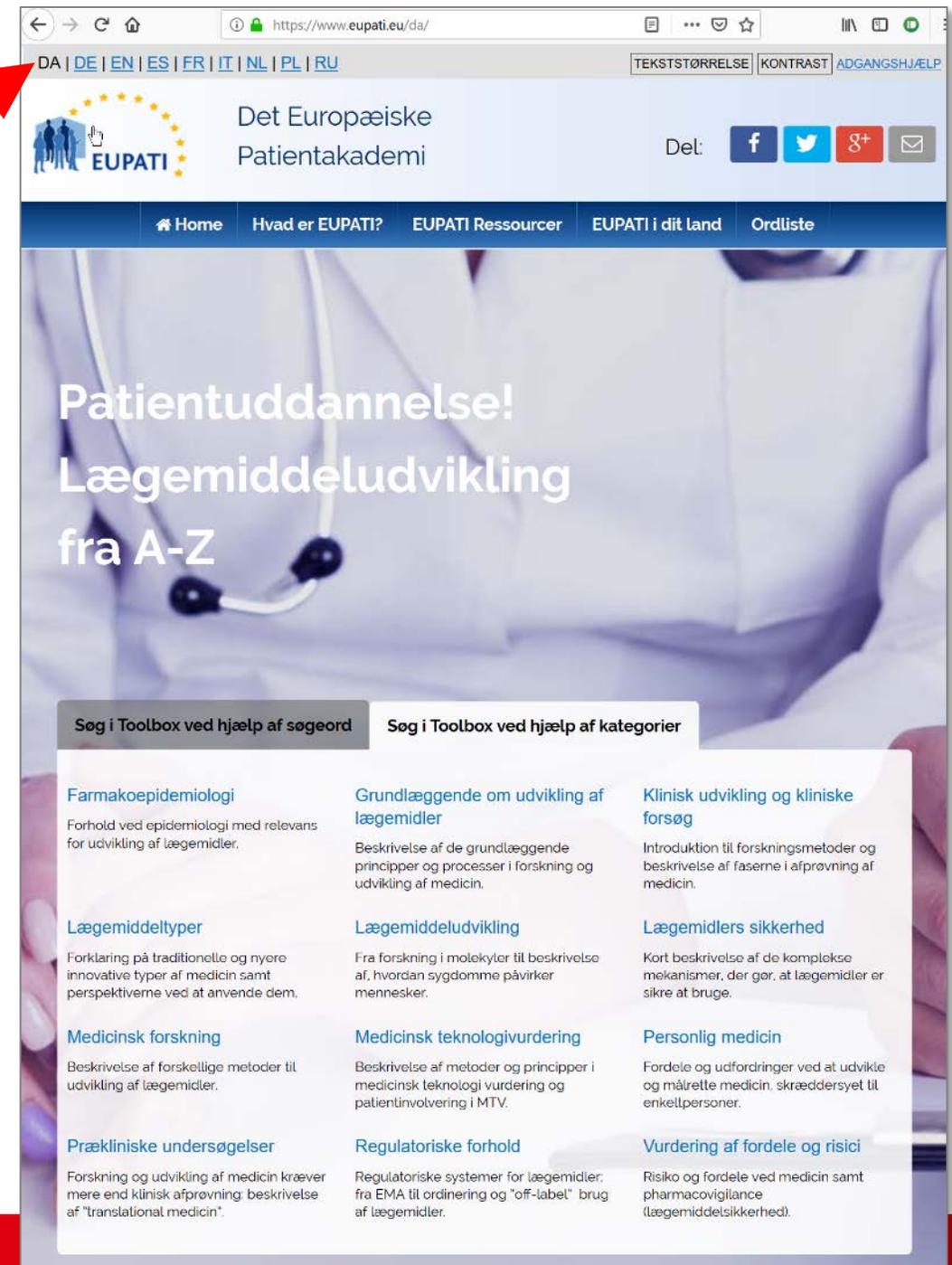
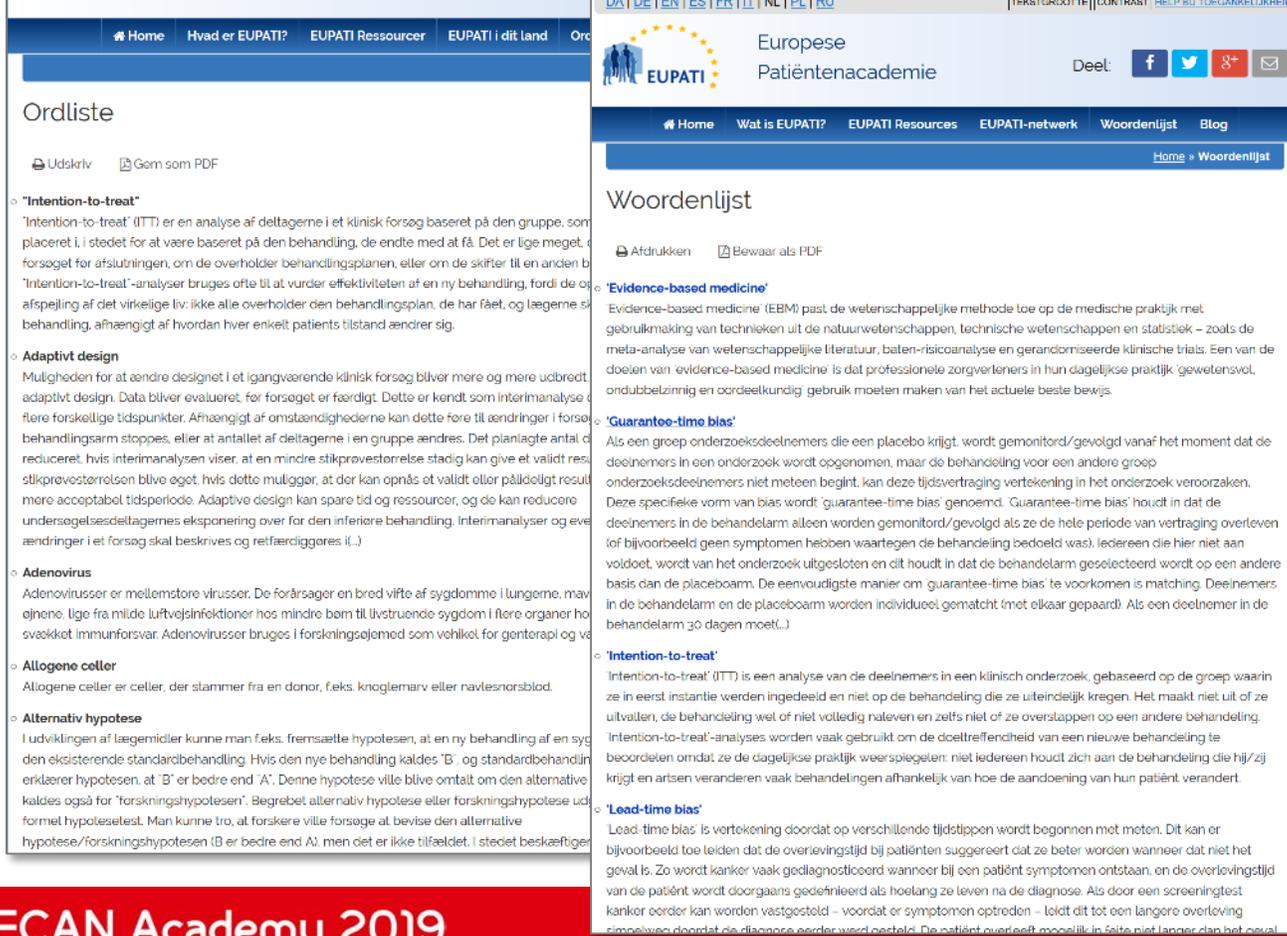
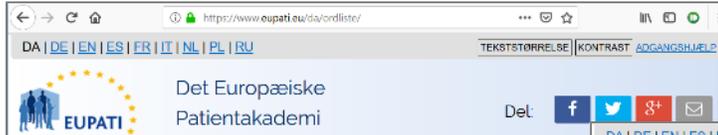
Index

- Introduction
- Why are patient-reported outcomes important?
- What outcomes are important? How are they measured?
 - Major concepts measured in PROs
- How to measure and interpret?
- Patient-reported outcomes, Health Technology Assessment, and patient involvement
- Further Resources
- References
- Articles

[Clinical effectiveness](#) typically reflect outcomes that are important to symptoms, morbidity, or mortality.

Outcomes – such as a heart attack, a [malignant](#) growth (cancer), or death – and measured using a clinical definition by someone other than the patient. There is increasing awareness that treatments should not just be effective and economically efficient, but should also be acceptable and meaningful to patients. Clinical [effectiveness](#) measures cannot tell us how a treatment functions, or what they want to achieve from a treatment. Measuring [acceptability](#) requires patient-based evidence that includes measures

The EUPATI Toolbox is also available in other languages



No research about us without us - your input is important!

Jan Geissler
jan@eupati.eu